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Treasury

**PROVINCE OF KWAZULU-NATAL**

**KZN Global Fund Supported Programme**

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## 1. Introduction and Global Fund Requirements

## 2. Principal Recipients and Sub Recipients

## 3. Update on Programme Implementation

## 4. Catch Up Plans



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## *Introduction*



- 1. Prevention programmes for adolescents & youth in and out of school (*includes YW&G + Cash plus Care*)**
- 2. Prevention programmes for other Vulnerable Groups**
- 3. Health Information Systems and M&E**
- 4. Programme Management**



**Indicators and Targets for Young Women & Girls Project**

	Indicators	Targets					
		April- Sept 2016	Oct 2016 - Mar 2017	April- Sept 2017	Oct 2017 - Mar 2018	April-Sept 2018	Oct 2018 - Mar 2019
1	Number of young people aged 10–24 years reached by life skills–based HIV education in and out of schools	5,250	7,520	7,520	7,720	7,720	7,720
2	Number of young people aged 10-24 years that have received an HIV test during the reporting period and know their results	4,500	11,500	10,500	16,750	14,500	19,000
3	Number of young women (aged 19-24 years) reached with cash plus care		5,000	12,000	15,500	20,000	20,000



## Breakdown of targets by Intervention for the Indicator (1) on Young People aged 10–24 years reached by life skills–based HIV education in and out of Schools

No.	Intervention	Targets				Comments
		Year 1	Year 2	Year 3	Total for 3 Years	
1	Soul Buddyz Clubs for Girls and Boys aged 10-24 years.	1,250	1,250	1,250	1,250	Non-cumulative. Same cohort of children.
2	Keeping Girls in School for 5,000 Young Girls between 14-18 years (Secondary).	5,000	5,000	5,000	5,000	Non-cumulative. Same cohort of children.
3	Total of 1,000 Young Girls 19-24 years out of school reached through RISE CLUBS standard package of services.	1,000	1,000	1,000	1,000	Non-cumulative. Same cohort of children.
4	Teen Parenting Programme for 1,000 participants in and out of school.	200	400	400	1,000	Cumulative annually and over the grant period. Different teens reached each time.
5	Child Protection Workshops for Girls aged between 10-17 years who are abused.	40	40	40	120	Cumulative annually and over the grant period. Different teens reached each time.
6	Child Protection Workshops for Boys aged between 10-17 years who display inappropriate sexual behaviour.	30	30	30	90	Cumulative annually and over the grant period. Different teens reached each time.
<b>TOTAL</b>		<b>7,520</b>	<b>7,720</b>	<b>7,720</b>		



# Funding Allocation to Grant Recipients and Programmes

Grant Recipient	Funding Approved ( ZAR )	Percentage
KZN PT	202 625 071	48%
KZN OTP	25 580 660	6%
KZN DoH	2 248 523	1%
KZN DoE	2 248 523	1%
KZN DSD planned (+cash transfer)	132 469 523	32%
KZN NGO (YW&G)	54 538 775	13%
<b>TOTAL</b>	<b>419 711 075</b>	<b>100%</b>

Key Programmes	Funding Approved ( ZAR )	Percentage
Prevention Programmes for adolescent and youth in and out of school	176 281 901	42%
Prevention Programmes for other vulnerable populations	185 710 365	44%
Health Information Systems and M&E	17 104 782	4%
Programme Management	40 614 028	10%
<b>TOTAL</b>	<b>419 711 075</b>	<b>100%</b>





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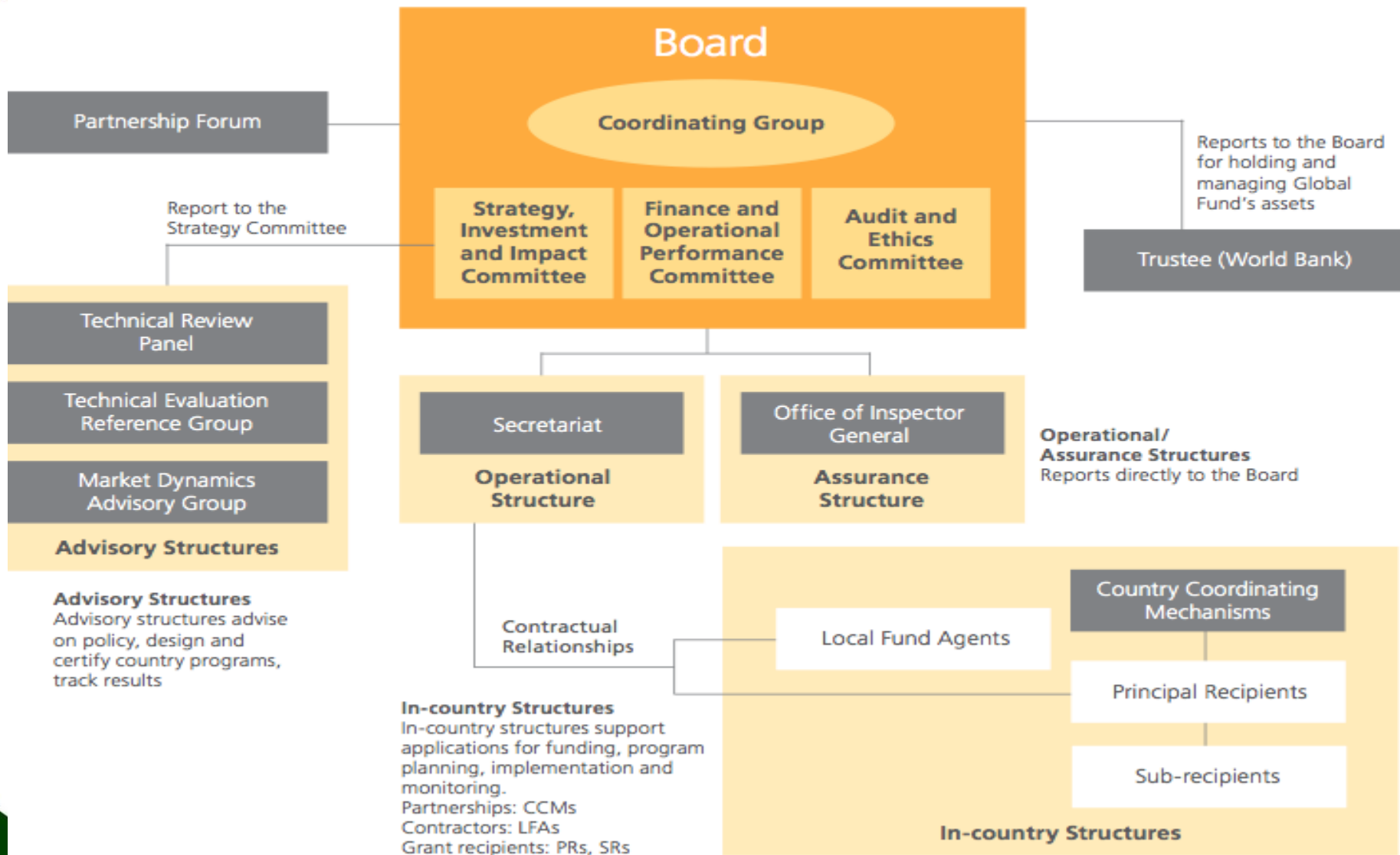
## *Global Fund Requirements*





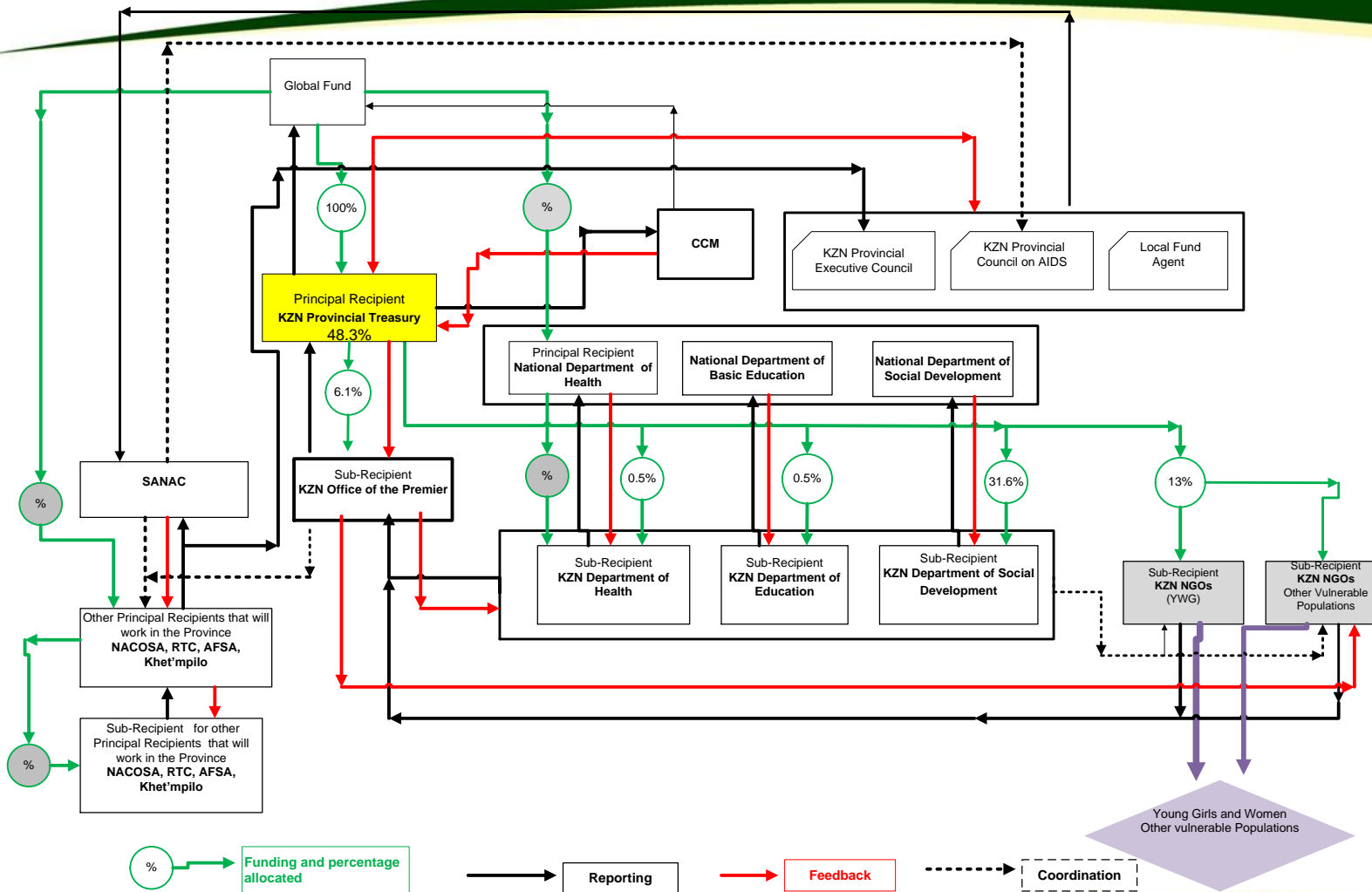
# Overview of the Global Fund Structures

## THE BOARD AND ITS SUPPORTIVE STRUCTURES





# KZN Implementation Arrangements





AREA OF MANAGEMENT	ASPECTS TO BE COVERED
Governance and Programme Management	<ul style="list-style-type: none"><li>▪ Legal capacity, status and authority to enter into the sub-grant agreement with the PR.</li><li>▪ Effective governance, organizational leadership and management structures in place.</li><li>▪ Adequacy of infrastructure and information systems to support grant implementation.</li><li>▪ Adequacy of HIV/AIDS and or TB expertise.</li><li>▪ Cross-functional expertise (finance, procurement, legal, M&amp;E) to implement the program activities.</li></ul>
Monitoring and reporting	<ul style="list-style-type: none"><li>▪ Sound data collection and management systems that ensure timely collection of high-quality data, i.e., data that is accurate, reliable, valid, and specific.</li><li>▪ Effective reporting systems that enable the SR to report on key Global Fund performance indicators in a regular (quarterly) manner.</li><li>▪ Technical and managerial capacities that enable SR to use monitoring data for program management and decision making.</li><li>▪ Adequate management arrangements that ensure adequate oversight of grant implementation, including implementation by sub-sub recipients and project sites.</li></ul>



AREA OF MANAGEMENT	ASPECTS TO BE COVERED
Financial management and system (FMS)	<ul style="list-style-type: none"><li>▪ Accuracy and prompt recording of all transactions, disbursements and balances.</li><li>▪ Maintenance of an adequate internal control system.</li><li>▪ Prompt preparation of regular and reliable financial statements and reports.</li><li>▪ Safeguard of the financial and physical assets.</li><li>▪ Compliance with acceptable auditing arrangements.</li></ul>
Procurement and Supply Chain Management	<ul style="list-style-type: none"><li>▪ Availability of PSM policies, systems and procedures including procedure for requisition, tender, bid evaluation, award, payment and record of goods received.</li><li>▪ Staff undertaking PSM have necessary competencies.</li><li>▪ Ensure compliance with the tax protocols.</li></ul>



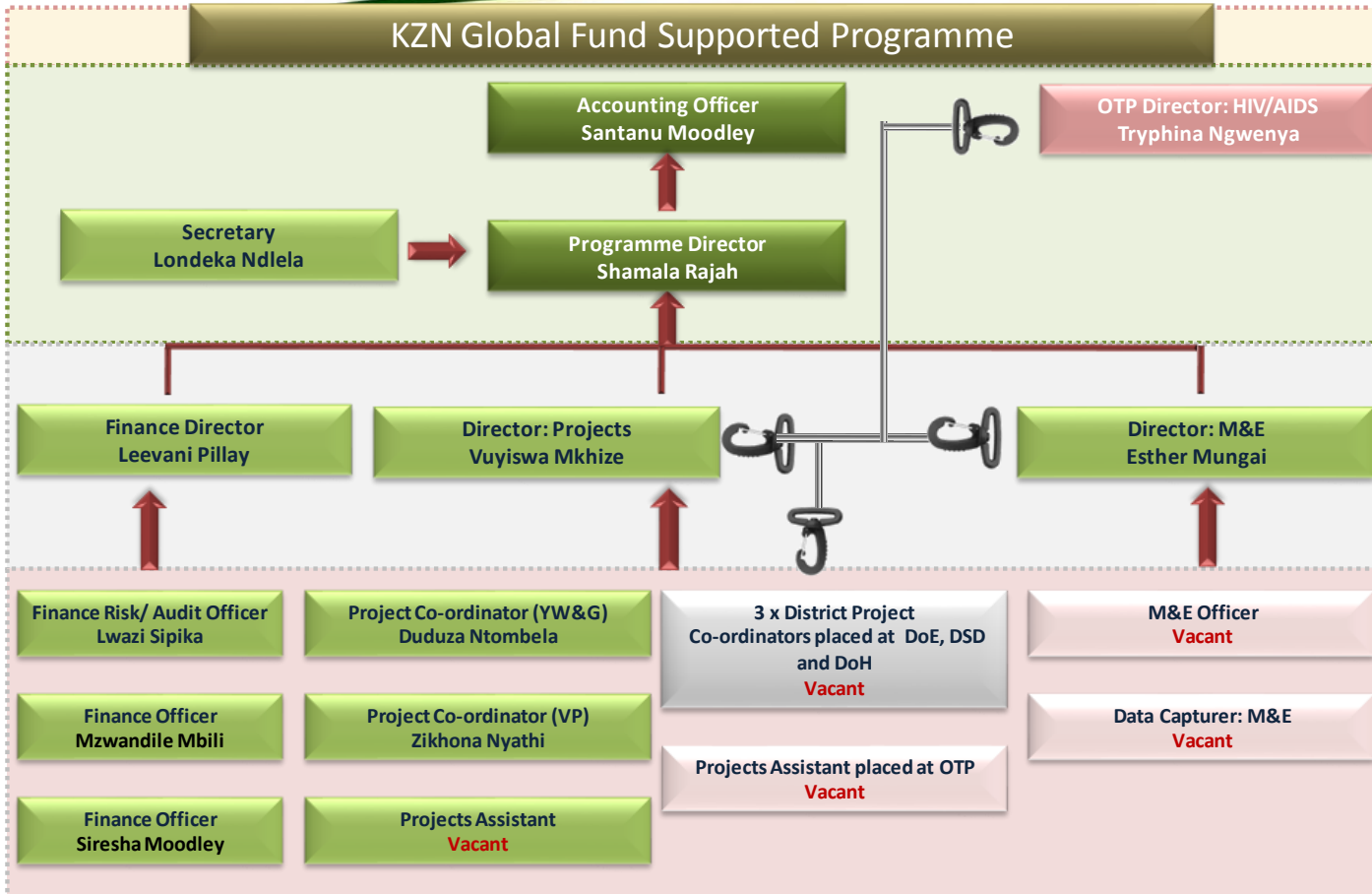
## *Principal Recipients and Sub Recipients*



The Functions of the KZN Global Fund Supported Programme (KZN-GFSP) include the following:

- Financial management – KZNPT.
- Partnership Coordination/SR management – Both KZNPT and OTP.
- Technical Coordination – OTP.
- Procurement Management Oversight – KZNPT.
- Technical Monitoring/Evaluation & Reporting – KZNPT.
- Grant Implementation – PR and ALL SRs.





Key for where the post is funded:



- HRM and admin was not considered, hence the Programme Director is performing the function with the assistance of her Secretary.
- There was no-alignment between the implementation map and the PMU structure which results in unclear responsibilities of KZNPT and OTP. KZN-GFSP is performing the tasks of OTP and sharing information with them.
- The vacant posts were advertised by KZNPT and shortlisting is scheduled for 20 February 2017.
- JDs and Pas have been signed and submitted to KZNPT.
- Timesheets and workplans have been implemented.
- We use Conditions of Service as KZNPT applies.
- Approval was granted to participate on the Online Leave and Travel systems.





- Policy and Procedure Manuals were re-drafted to align with Global Fund Regulations. The following have been approved by our Accounting Officer and submitted to GF for their approval:
  - ✓ Grant management.
  - ✓ Human Resource and Management.
  - ✓ Finance.
  - ✓ Supply Chain Management.
  - ✓ Risk Management.
  - ✓ Sub-Recipient Management.
- All procedures are being implemented on a day-to-day basis and the training of PR and SRs staff and a risk assessment workshop are scheduled for 21-23 February.
- **Challenge** is to schedule first meeting with EXCO and to have MOUs signed with the government Sub-Recipients.



## **Executive Committee - EXCO**

<b>Membership</b>	<b>Roles and Responsibilities</b>
Director-General (Chair): Office of the Premier	<ul style="list-style-type: none"><li>• Ensure transparency in the management of the Global Fund resources in KZN to achieve programmatic results and financial accountability.</li><li>• Provide strategic support to the overall programme.</li><li>• Ensure alignment and compliance with Global Fund requirements.</li><li>• Facilitate partner collaboration and communication.</li></ul>
HOD: KZN Provincial Treasury	
HOD: Department of Social Development (DSD)	
HOD: Department of Education (DoE)	
HOD: Department of Health (DoH)	
SGM: Office of the Premier (OTP)	
Accounting Officer: KZN-GFSP	
Programme Director: KZN-GFSP	



## **Management Committee - MANCO**

<b>Membership</b>	<b>Roles and Responsibilities</b>
Accounting Officer (Chair): KZN-GFSP	<ul style="list-style-type: none"><li>• Review and approve disbursement requests for submission to LFA and TGF.</li><li>• Review quarterly financial and programmatic results.</li><li>• Facilitate and approve requests for reprogramming of funds within the grant.</li><li>• Provide technical assistance to the PR and SRs, as required.</li><li>• Facilitate alignment of grant activities with other government and partner programmes.</li><li>• Ensure EXCO, LFA and TGF recommendations are implemented.</li></ul>
Programme Director: KZN-GFSP	
Projects Director: KZN-GFSP	
M&E Director: KZN-GFSP	
Finance Director: KZN-GFSP	
Representative: DSD	
Representative: DoE	
Representative: DoH	
Representative: OTP	
Executive Director: Mpilonhle	
Representative of other NGO SRs	

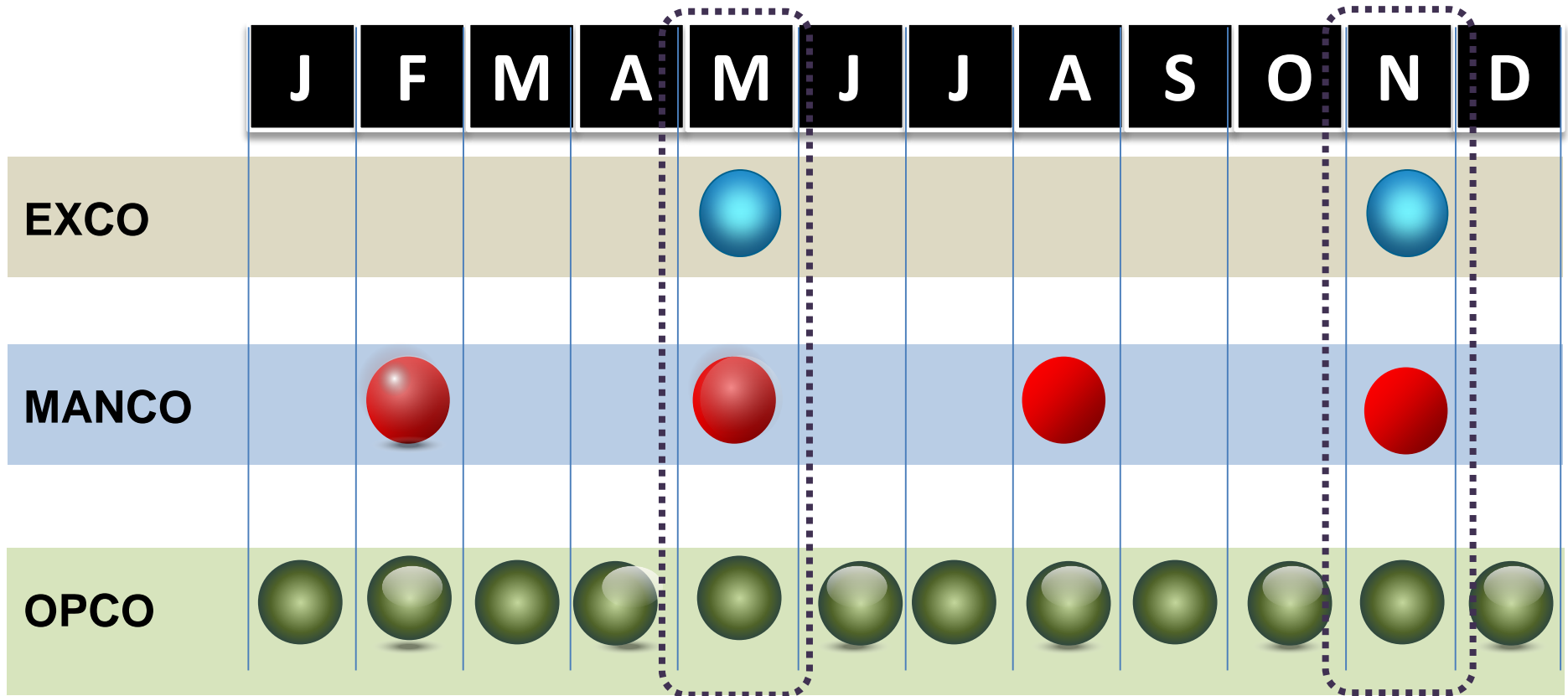


## **Operations Committee - OPCO**

<b>Membership</b>	<b>Roles and Responsibilities</b>
Projects Director: KZN-GFSP	<ul style="list-style-type: none"><li>• Conduct ongoing review of progress against implementation plans and budgets.</li><li>• Conduct training of SRs.</li><li>• Provide day-to-day implementation support to SRs.</li><li>• Co-ordinate all programme activities.</li><li>• Conduct regular field monitoring visits.</li><li>• Develop guidelines and tools for SRs' reporting.</li><li>• Identify and address implementation bottlenecks.</li><li>• Compile progress reports for all governance structures, PCA, CCM and TGF.</li></ul>
M&E Director: KZN-GFSP	
District Co-ordinator: DSD	
District Co-ordinator: DoE	
District Co-ordinator: DoH	
Representative: OTP	
Executive Director: Mpilonhle	
Projects Officers	
M&E Officers	



# Frequency of Meetings for Governance Structures





- All staff are appointed
- Pastel Accounting is being setup
- KZN-GFSP's expenditure was maintained on BAS and PERSAL is being used for CoE as agreed with KZNPT's CFO and AO
- We are registered for VAT and monthly returns have been filed
- All payments are verified by the Finance Risk and Audit Officer before processed by KZNPT's SCM Unit
- A Payment/ Contract Register is updated and all payment documentation are securely filed
- Savings have been identified due to the late appointment of staff and after discussion with the AO, a Budget Adjustment request will be submitted to GF





- External Auditors have been appointed through a limited bid as per GF's requirements. They are PwC.
- SCM is now fully functional with procedures and templates implemented from request for purchases through to the evaluation. Bid Selection Committee members have been appointed. A recommendation is made to the AO for >R500 000 items and approved by GF. Documentation is sent to KZNPT for the order and payments to be processed on BAS. System is working well.
- A fixed asset register has been created, assets bar-coded and reconciled to payments made.
- **Challenges** exist to build capacity for Mpilonhle Organisation, the Sub-Recipient appointed for the Young Women & Girls project. Their budget is R54m, but all payment documentation are scrutinised and they may be disallowed until we are satisfied. Selection of SRs must be informed by detailed Capacity Assessments in the form of a Due Diligence to ensure that the PR is not over-burdened.





- Finance Risk and Audit Officer has been appointed but assisted with Finance functions but is now fully functional in his area.
- A Risk Management Policy was drafted and the Risk Register for the PR updated.
- A full risk assessment workshop will be held next week with the SRs, mitigation strategies developed for close monitoring and managing these risks down to acceptable levels.



## Update on Programme Implementation



# Update on Programme Implementation of the New Grant

Module	Brief Update e.g. Update on implementation progress? When has it started and if not yet started, when will it start? What are the bottlenecks? What can the OC assist with?
<b>Prevention programmes for adolescent youth in and out of school</b>	<p>Community preparation and buy-in activities were done in Q3. Orientation of the KZN Global Fund Supported Programme was successfully done with key district stakeholder government departments of Education, Health and Social Development, Operation Sukhuma Sakhe (OSS) committee members, school governing bodies, school principals, Mpilonhle (SR) collaborating CBOs and the local councillors. A total of 100 schools were identified in partnership with the district department of education. Fifty primary schools were then requested to select a teacher to facilitate the Soul Buddyz club activities in 2017. These teachers will be trained in early February by Soul City Institute. A total of 12 team leaders were trained as trainers in Keeping Girls in School (KGIS) curriculum delivery. They were also trained in Soul Buddyz and Rise modules. Other training is scheduled to take place in January after 50 life skills coaches are recruited.</p> <p>We have requested the SR to provide us with a daily target for January to March 2017 so that we can closely monitor their delivery. Target for January was 1220 and the SR achieved 822 which is evident of some progress being made against the catch-up plan.</p> <p><b>However, the risk of not achieving 100% of the target will not be mitigated as it is quite impossible to make up for seven months of lost time without compromising quality. We request assistance from the OC to allow us to carry the unachieved target into Y2.</b></p>



# Update on Programme Implementation of the New Grant

Module	Brief Update e.g. Update on implementation progress? When has it started and if not yet started, when will it start? What are the bottlenecks? What can the OC assist with?
Prevention programmes for adolescent youth in and out of school (HCT)	<p>KZN Treasury SR started programme implementation in November 2016. Implementation for Q3 was only for two months. The SR conducts HCT in schools through the Integrated School Health Programme (ISHP) for 10-18 year olds. The schools were writing exams, so accessing the project beneficiaries was difficult. They also used the HCT mobile services to reach those out of school and also provide the service to in-school youth after school hours. In total the SR managed to test a total of 170 young people aged 10-24 years (62 males and 108 females). Three tested HIV positive (1 male and 2 females), hence positivity rate is 1.8%. Referral for baseline CD4 counts was successfully done. Link to psycho-social support services was done but verification of service is still pending. All the 100 beneficiary schools with their adjacent clinics have been mapped. This will enhance the tracking and referral system for health services.</p>



## Update on Programme Implementation of the New Grant

Module	Brief Update e.g. Update on implementation progress? When has it started and if not yet started, when will it start? What are the bottlenecks? What can the OC assist with?
Prevention programmes for adolescent youth in and out of school (Cash plus care)	The draft concept note has been finalized by the consultant and will be presented to the provincial advisory committee in mid February. This will be followed by the design of the TOR and the call for proposals. It is envisaged that the implementation will commence in early April.
Prevention programmes for other vulnerable population	Geospatial mapping of uMgungundlovu District (pilot district) is finalized. Community engagement and risk profiling was completed for 4 sub-districts. Community engagement in preparation of risk profiling of the other 3 sub-districts is scheduled to take place in the 2 <sup>nd</sup> and 3 <sup>rd</sup> week of February. Roll out of interventions for vulnerable population in uMgungundlovu District is scheduled to commence in May. Geospatial work-plan will be revised and mapping of the other 10 districts in KZN is tentatively planned to be completed by March.



## *Catch Up Plans*





- Life Skills Coach, entry level positions responsible for facilitation of of Intervention 1, 2 and 3 of one cluster (1 Soul Buddyz Club in 1 Primary School, 5 KGIS club in 1 High School, 1 Rise Club for out of school youth).
- Team Leader Field Implementation, mid level positions responsible for facilitation and training programs of of Intervention 1, 2 and 3 of 6 clusters each. 6 (or 7) Life Skills Coaches report to 1 Team Leader.
- Supervisor Field Implementation, mid to senior level positions responsible for facilitation of of Intervention 1, 2, 3 and 4 for 24 to 25 clusters. Repsonsible for training of all the train the trainer programs for intervention 1,2,3, and 4
- Field Coordinator: Senior Positions. Responsible for Intervention 1, 2 and 3 and 4 for 50 clusters. Liaison with collaboration CBOs and District Government relatd to these intervention.
- Clinical Coordinator: Senior Positions. Responsible for clinical component of all interventions.

<b>INTERVENTION 1- SBC</b> 50 primary schools Soul Buddyz Club - 1 club per school 25 boys and girls *50 schools - 1250 girls and boys 50 resource educators - trained with the curriculum  11 parenting sessions parents per session = 25 parents total: 275 parents	<b>INTERVENTION 2 - KGIS</b> 50 High Schools Rise club / Keeping Girls in Schools Club 5 clubs per school 20 girls*5 clubs*50 schools -5000 girls 50 resource educators -trained with the curriculum	<b>INTERVENTION 3- RISE</b> 50 High Schools Rise club for out of school girls (18-24) 1 club per school 20 girls*1 clubs*50 schools = 1000 girls  Total of 50 clusters 1 Cluster = 1 Primary School- SBC - 25 boys and girls 1 High School - KGIS- 100 girls
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5 Health Educators 7 Auxilliary Social Workers (in training) 12 clusters 	5 Health Educators 8 Auxilliary Social Workers (in training) 13 clusters 	5 Health Educators 7 Auxilliary Social Workers 12 clusters 	5 Health Educators 8 Auxilliary Social Workers 12 clusters 
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CBOs. Responsible for the community outreach of their cluster area. 12 (or 13) clusters per CBO

Coordinator Field Implementation overall responsible for intervention 1,2,3 and 6

Clinical Coordinator (Mpilonhle) overall responsible for the clinical aspect of the program.

**Intervention 7**  
 HIV testing and Integrated School Health Program including Health Education and Screening for Psycho Social Support for 28,000 youth and adolescents. Recruitment of participants in Intervention 4 and 5 Referrals to Government Services  
 Mpilonhle Mobile Units  
 3 units in Umhlatuze Sub-District  
 3 units in Umfolozi Sub-District  
 Staffing for each sub district :  
 1 nurse , 4 health counselors, 1 psychologists. (x2)  
 Collaborate with DOH ISHP program

1 Social Worker  
**Umhlatuzi Sub-District**

**INTERVENTION 4 and 5**  
 Parenting Program  
 Child Protection Program

1 Social Worker  
**Umfolozi Sub-District**

**INTERVENTION 4 and 5**  
 Parenting Program  
 Child Protection Program

**Intervention 6**  
 Community Outreach in collaboration with WARDS (CCGs, Youth Ambassadors) Traditional Authorities

SAG  
 DOH ISHP Team  
 DOH Clinics  
 DOH Hospital  
 DBE  
 DSD  
 SAPS  
 OTP

Follow up on Referrals





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# Thank You